

From Artificial Atoms to Nanocrystal Molecules: Preparation and Properties of More Complex Nanostructures

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quantum dot, nanocrystal molecule, plasmon ruler, tetrapod, chemical transformations of nanocrystals

Abstract

Quantum dots, which have found widespread use in fields such as biomedicine, photovoltaics, and electronics, are often called artificial atoms due to their size-dependent physical properties. Here this analogy is extended to consider artificial nanocrystal molecules, formed from well-defined groupings of plasmonically or electronically coupled single nanocrystals. Just as a hydrogen molecule has properties distinct from two uncoupled hydrogen atoms, a key feature of nanocrystal molecules is that they exhibit properties altered from those of the component nanoparticles due to coupling. The nature of the coupling between nanocrystal atoms and its response to vibrations and deformations of the nanocrystal molecule bonds are of particular interest. We discuss synthetic approaches, predicted and observed physical properties, and prospects and challenges toward this new class of materials.

Nanocrystal: a crystalline particle with dimensions on the order of nanometers, comprising ~ 100 – $10,000$ atoms and exhibiting size-dependent physical properties

1. INTRODUCTION

Since their development more than 20 years ago, spherical semiconductor nanocrystals—known as quantum dots—have demonstrated remarkable size-dependent physical properties. Among the phenomena studied are the band gap, radiative rate, melting temperature, and solid-solid phase-transition pressure, which can all be controlled solely by varying the size of the nanocrystal from 100 to up to 10,000 atoms (1–5). Because a quantum dot exhibits discrete and tunable structure in its optical and electrical characteristics, it is often referred to as an artificial atom (**Figure 1**).

The ability to make such artificial atoms has developed significantly in the intervening years, and it becomes natural to consider whether artificial molecules may be created from well-defined groupings of single nanocrystals. Just as a hydrogen molecule has markedly different properties from individual hydrogen atoms, a key feature of an artificial molecule is that it should possess significantly altered properties from those of the component nanoparticles. This distinguishes the work described here from many current and important efforts in which, for instance, quantum dots are combined with magnetic nanoparticles to provide for multimodal capabilities (6). The distinct groups of nanoparticles considered here must have strong coupling between the particles.

In this review we examine major efforts by the field to construct and characterize the properties of nanocrystal molecules. We consider nanocrystal molecules in which the individual nanocrystals are coupled via two main mechanisms: plasmon coupling between metal nanocrystals and direct electronic coupling arising from inorganically connected nanocrystals. In both cases we are interested in the nature of the interparticle coupling, and also in how this coupling changes as the nanocrystal molecule vibrates or deforms. Bond vibrations in organic or small molecules can be spectroscopically observed; we see that this is true for alterations or deformations of nanocrystal molecule bonds as well.

2. PLASMONICALLY COUPLED NANOCRYSTAL MOLECULES

A plasmon is a collective oscillation of the valence electrons in a metallic particle. The resonance frequency of a metal plasmon depends critically on the material composition, especially the electron density in the metal. Most metals exhibit plasmon resonances in the far ultraviolet, but in the noble metals Cu, Ag, and Au, there is a $d \rightarrow s$ interband transition that mixes with the plasmon

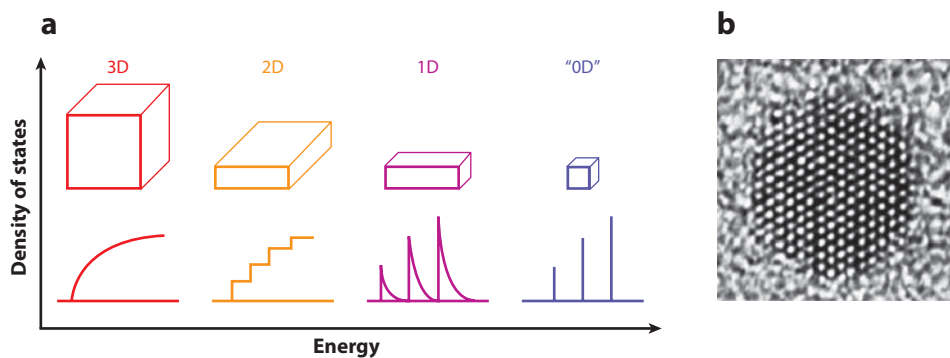


Figure 1

A single isolated quantum dot as an artificial atom. (a) Density of states in one band of a semiconductor as a function of dimension. The energy levels become discrete near the Fermi level for “0D” crystals.

(b) Transmission electron micrograph of a colloiddally prepared wurtzite (wz)-CdSe nanocrystal with well-defined facets. The surface is passivated with organic surfactants. Figure adapted from Reference 5, reprinted with permission from AAAS.

resonance and shifts it to the visible region of the electromagnetic spectrum. In nanoparticles, the plasmons couple strongly to optical fields, producing intense light-scattering spectra; this effect can be classically described using Mie theory (7). The light scattering from the noble-metal nanoparticles depends strongly on size with intensity varying as the sixth power of the radius. The light-scattering spectrum from a single noble-metal nanoparticle of 20-nm diameter or larger can readily be observed in a dark-field microscope equipped with a standard tungsten lamp. As two metal nanoparticles are brought into proximity, the charge oscillations couple to each other, resulting in altered optical and electronic behavior that is highly distance dependent. This coupling effect is exploited in making metal nanocrystal molecules with new capabilities. Plasmon coupling occurs through a dielectric medium and readily extends over distances comparable to the nanocrystal diameter, making it particularly useful for situations in which metal nanoparticles are linked via biological macromolecules.

2.1. Directed Assembly of Metal Nanocrystals Using Biological Macromolecules

There are two reasons for using biological macromolecules to effect the metal nanocrystal assembly. First, biological macromolecules are capable of chemical recognition and assembly to a degree of sophistication that substantially exceeds what is otherwise possible. Thus a wide range of nanocrystal molecules, with a variety of symmetries and degrees of interconnection, can be readily achieved using oligonucleotides or peptides to arrange the nanoparticle assembly. Second, by using biological macromolecules as the glue that holds the nanoparticles together, biological sensing is automatically built into the resulting nanocrystal molecules. Any conformational change in biomolecule linker directly results in a change in the distance between the nanoparticles, which should produce a detectable signal if the nanoparticles are coupled to each other strongly.

Alivisatos and Schultz demonstrated one of the first uses of DNA to organize nanocrystal molecules in 1996, with a subsequent experiment in 1999 (8, 9) (**Figure 2**). In this experiment, Au nanoparticles conjugated to complementary strands of DNA were brought together via

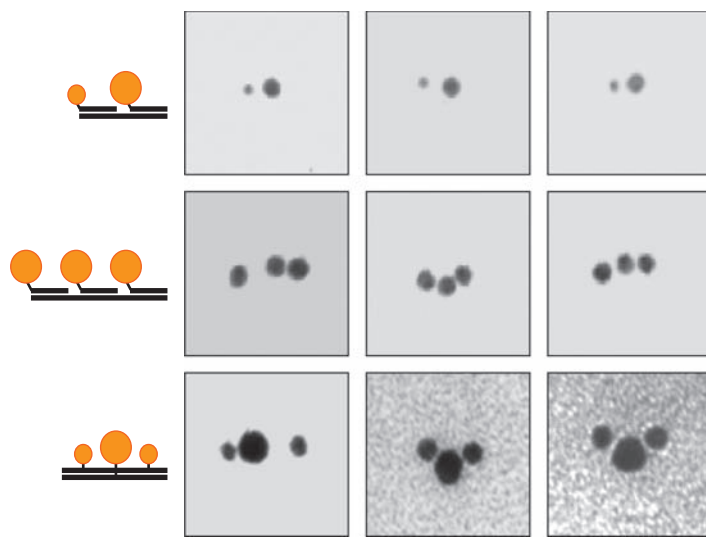


Figure 2

DNA-directed assembly of 5- and 10-nm Au particles into dimer and trimer nanocrystal molecules. Figure adapted from Reference 9.

Watson-Crick base pairing, resulting in distinct Au nanoparticle dimers and trimers. This work appeared simultaneously with work by Mirkin and Letsinger, who used DNA to assemble Au nanoparticle arrays (10). Indeed, if the nanocrystal is thought of as an artificial atom, then the construction of artificial solids is an important and complementary activity to the construction of artificial molecules (11–13).

The use of biological macromolecules to assemble metal nanocrystals has been further developed to design more complex structures. Nanocrystal assemblies consisting of a central nanocrystal with many satellite nanocrystals attached have been achieved by a variety of methods (14, 15). Chains of metal nanoparticles linked by single-stranded DNA have been synthesized through the use of DNA ligase, and subsequent pair bonding of complementary DNA strands yields chains of metal nanoparticle pairs (16) (**Figure 3a**). Chiral Au nanoparticle assemblies, adapted from Seeman's (17) complex DNA nanostructures, have been demonstrated in the form of left- and right-handed four-nanoparticle pyramids (18) (**Figure 3b**). Programmable dynamic behavior of Au nanoparticle assemblies has also been achieved (19) (**Figure 3c**). Future combinations utilizing these demonstrated operations of central branch points, linear extensions, chirality, and dynamic capabilities can be used to create a wide variety of nanocrystal molecules with tunable collective properties.

2.2. Alternative Methods for Construction of Plasmonic Nanocrystal Molecules

Plasmon-coupled nanocrystal molecules may also be constructed without the aid of biomolecules. For example, spherical metal nanoparticles can assemble together via the polymerization of organic surface ligands. When two thiol ligands of different lengths arrange on the surface of an Au nanoparticle, they form alternating latitude ring-like domains. This phenomenon is not observed on flat surfaces and thus is likely driven by surface curvature. Topological considerations and molecular-dynamics simulations demonstrate that this surface arrangement is possible only if a single thiol molecule sticks out at each pole (20). Stellacci and coworkers (21) demonstrated that the thiol molecules at either end of the particle can be replaced with longer-chain carboxy-terminated molecules, resulting in reactive sites at the particle poles. Diamines are then utilized to polymerize the divalent Au nanoparticle monomers, resulting in Au nanoparticle chains. Alternatively, Novak & Feldheim (22) used stiff thiol-functionalized phenylacetylenes to assemble Ag and Au dimers, trimers, and tetramers.

In addition to particle assembly, varying the nanocrystal shape is an alternative and powerful method for tuning plasmon resonances. This is accomplished mainly by altering synthetic conditions, without the aid of any nanoparticle linker molecules. For example, Au nanorods are grown via a seed-mediated synthesis, with the aspect ratio controlled by varying the amount of cationic surfactant present (23). Au and Ag nanoprisms are synthesized by photochemical or thermal methods (24). In comparison with simple spheres, nanorods and nanoprisms have optical properties much more enhanced and tunable by varying the particle dimensions (25). Au nanoshells are grown by the reduction of Au on the surface of a solid core that is either dissolved, in the case of an Ag core, or plasmon inactive, such as a silica core (26); the optical properties of an Au nanoshell exhibit greater sensitivity to particle dimensions in comparison with its solid counterpart. The use of dielectric cores as a structural synthetic tool has also led to the formation of nanoeggs, nanomatrushkas, and nanorice (**Figure 3d**), all with unique optical properties (27). The diverse plasmon resonance spectra of these shaped nanoparticles can be thought of as arising from the coupling together of simpler systems, so that these complex shapes, too, are nanocrystal molecules (27, 28).

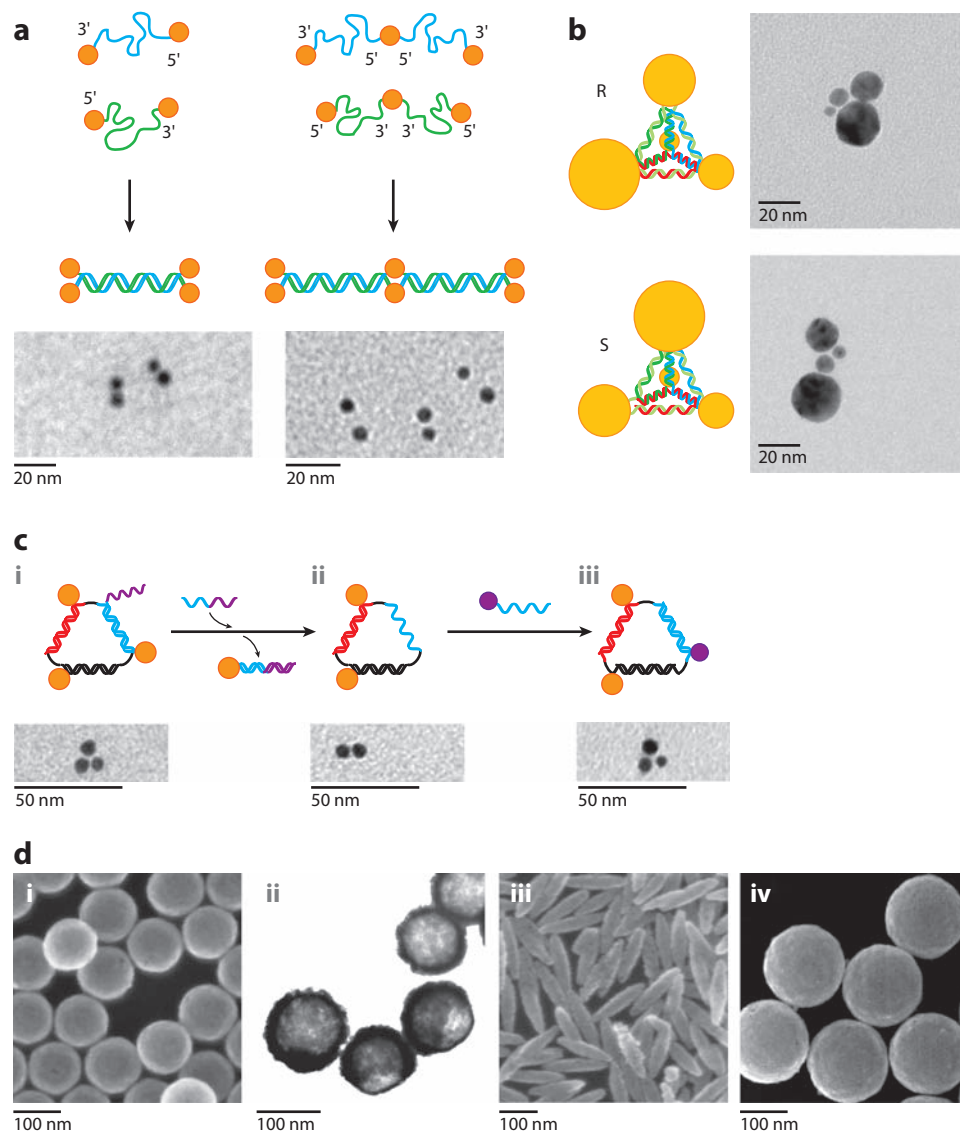


Figure 3

Plasmonically coupled nanocrystal molecules. (a) Tetramers and hexamers of 10-nm Au nanocrystals can be assembled as chains of particle pairs using DNA and enzymatic ligation. Figure adapted with permission from Reference 16. Copyright 2008 American Chemical Society. (b) DNA directed assembly of chiral nanostructures with 5-, 10-, 15-, and 20-nm Au nanocrystals at the pyramid vertices. Figure adapted with permission from Reference 18. Copyright 2009 American Chemical Society. (c) Write/erase function in dynamic DNA templates demonstrated by (i) writing three 15-nm Au particles into triangles, (ii) removing a specific particle using a complementary eraser strand, and (iii) rewriting with a 5-nm particle. Figure taken, in part, with permission from Reference 19. Copyright 2007 American Chemical Society. (d) Colloidally constructed plasmonic nanocrystal molecules: (i) Au nanoshells, (ii) Au nanoeggs, (iii) Au nanorice, and (iv) Au nanomatryushkas. Figure adapted with permission from Reference 27. Copyright 2007 American Chemical Society.

2.3. Theoretical Considerations of Plasmonic Nanocrystal Molecules

The ability to design and synthesize plasmon-coupled nanocrystal molecules is significant as new behaviors are predicted for these assemblies. The electromagnetic field-induced charge displacements within two noble-metal particles will couple to each other as the particles are brought together. In analogy with molecular bonding, when two metal nanoparticles are brought close together, the plasmon resonance splits into a lower and a higher frequency mode. Constructive interference will occur between the enhanced fields of each particle, leading to the red-shifting of the lowest-energy coupled plasmon resonance, and an enhanced electromagnetic field in the particle junction (**Figure 4a**) (29). Similarly, destructive interference produces a mode of higher frequency.

In consistence with the notion of individual nanocrystals as artificial atoms, Nordlander and Halas (27) have contributed greatly to the field by using a method analogous to molecular orbital

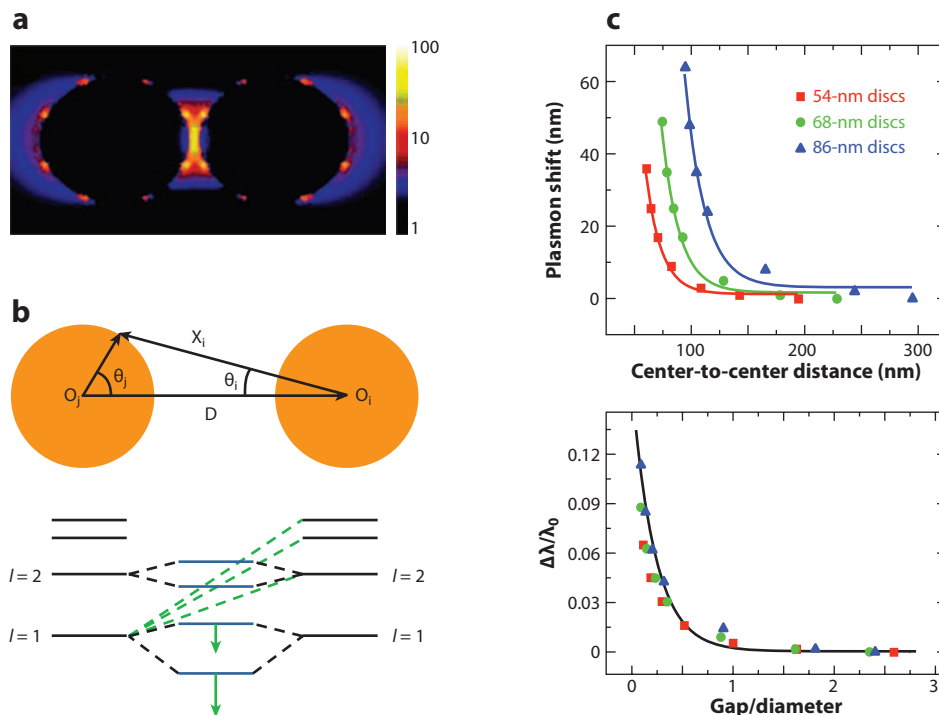


Figure 4

New behaviors are predicted for plasmonically coupled nanocrystal molecules. (*a*) Electromagnetic near-field enhancement at the excitation laser wavelength (633 nm) for an adjacent Au nanosphere pair with the interparticle axis parallel to the incident polarization, calculated using the finite-difference time-domain method. The color scale represents the electromagnetic field enhancement ($|E|$). Figure adapted with permission from Reference 29. Copyright 2005 American Chemical Society. (*b*) Schematic of plasmon hybridization in a metal nanocrystal dimer. The individual nanosphere plasmons on the two particles interact and form bonding and antibonding dimer plasmons. Plasmons of different angular momentum on opposite dimer particles also interact to shift dimer plasmons at small separations, shown here for the $l = 1$ derived dimer plasmons. Figure adapted with permission from Reference 30. Copyright 2004 American Chemical Society. (*c*) The plasmon peak is expected to red-shift as two metal nanocrystals in a dimer are brought closer together; here, simulations are done on Au discs. Although larger nanocrystals exhibit greater sensitivity to a change in distance (*top*), a scaling law is predicted for the relative plasmon shift for pairs of Au discs with different diameter size (*bottom*). Figure adapted with permission from Reference 35. Copyright 2007 American Chemical Society.

theory to model the interaction between two plasmonic particles (**Figure 4b**). The charge oscillations in two metal nanocrystals, with a separation less than their diameter, will strongly couple to each other. The single plasmon resonance of one particle will now split into two resonances: a longitudinal mode, in which the charge oscillations are aligned along the interparticle axis, and a transverse mode, in which the charge oscillations are perpendicular to the interparticle axis. As the two particles get closer together, the longitudinal mode shifts to lower energy, whereas as they move further apart, it shifts to higher energy. In addition, the light-scattering intensity from the longitudinal mode of a pair of strongly coupled particles can be up to four times more intense than that of a single particle. Thus, the plasmon resonance energy and the light-scattering intensity from plasmon-coupled nanoparticles serve as reporters of interparticle separation and orientation (30). This technique has also been utilized to consider plasmon coupling in trimers and quadramers of metal nanoparticles (31), as well as hollow metal nanospheres (32, 33).

The plasmon shift as a function of interparticle distance also depends greatly on the size, shape, and composition of the metal nanoparticle; the dielectric constant of the surrounding medium; and the direction of light polarization relative to the interparticle axis (34, 35). Larger nanoparticles exhibit greater plasmon shifts for a given center-to-center distance. Ag nanoparticles generally have sharper plasmon resonances than Au due to a larger polarizability, making the effects of interparticle coupling easier to see (35, 36). The surface plasmon light-scattering peak of a single particle will red-shift as the dielectric constant of the surrounding medium is increased because the charge oscillations in the metal particle induce a polarization in the dielectric medium. Generally, the effect of local dielectric changes is smaller than effects that arise when another noble-metal nanoparticle is placed nearby, as the second nanoparticle is far more polarizable than the typical dielectric medium.

Care must be taken in calculating the magnitude of the interparticle coupling. It is not enough to include simple dipole-dipole terms to describe the polarizations in each nanoparticle, because the nanoparticles are within a diameter of each other and higher-order terms in a multipole expansion are needed. Pinchuk & Schatz (7) developed a quantitative theory to describe these phenomena. El-Sayed and coworkers (35) provided a scaling description that empirically describes the fractional plasmon shift for an Au nanodisc pair in solution:

$$\frac{\lambda}{\lambda_0} \approx a \cdot \exp\left(\frac{-(R/D)}{\tau}\right), \quad (1)$$

where λ is the plasmon resonance of the Au particle pair, λ_0 is the plasmon resonance of the individual particles, R is the interparticle center-to-center distance, D is the particle diameter, τ is the decay constant, and a is the amplitude of the fractional shift in the system. From the simulation, the authors find that τ has a value of ~ 0.2 ; that is, the plasmon coupling decay length is roughly 0.2 times the particle diameter. This value is universal, regardless of particle size (**Figure 4c**), shape, metal type, and medium dielectric constant, all of which affect only the amplitude of the fractional shift. Although the expression is calculated for light polarization oriented parallel to the interparticle axis, experimental polarization effects only become significant for larger particles (~ 87 nm) (37).

2.4. Observed Properties of Plasmonic Molecules and Their Use in Microscopy and Spectroscopy

One feature of plasmon scattering cannot be overemphasized when considering the use of plasmons as reporters in microscopy and spectroscopy: The light scattering from a single noble-metal nanoparticle does not change with time at all. It is just constant. In a noble-nanocrystal molecule,

Plasmon ruler: a tool utilizing the light-scattering spectra of a plasmonic nanocrystal molecule to observe distance-dependent phenomena

the light scattering will change only if the distance between the nanoparticles changes (small changes due to the variation of the dielectric constant of the surrounding medium can be detected but are an order of magnitude smaller than those due to particle proximity). Otherwise, the spectra are invariant. This is not true of any other type of single-molecule optical label. Organic dyes show intersystem crossing and bleach, lasting only a few tens of seconds under typical single-molecule conditions. Colloidal quantum dots luminesce much longer, but generally also show blinking, with a wide range of on and off times (38, 39). Plasmon resonances do not suffer from these drawbacks. Indeed, plasmonic nanocrystal molecules provide faithful trajectories for the analysis of the conformational changes of individual biological macromolecules.

A starting point for these studies is shown in **Figure 5a**. When a second particle binds to a single particle immobilized on a surface, the light-scattering intensity increases, and the plasmon peak red-shifts for both Ag and Au particles, as expected (40). This so-called plasmon ruler technique has been used to study the cutting of DNA by the EcoRV restriction enzyme (**Figure 5b**) (41). In this experiment, Au nanoparticle dimers linked by oligonucleotides containing the EcoRV cutting

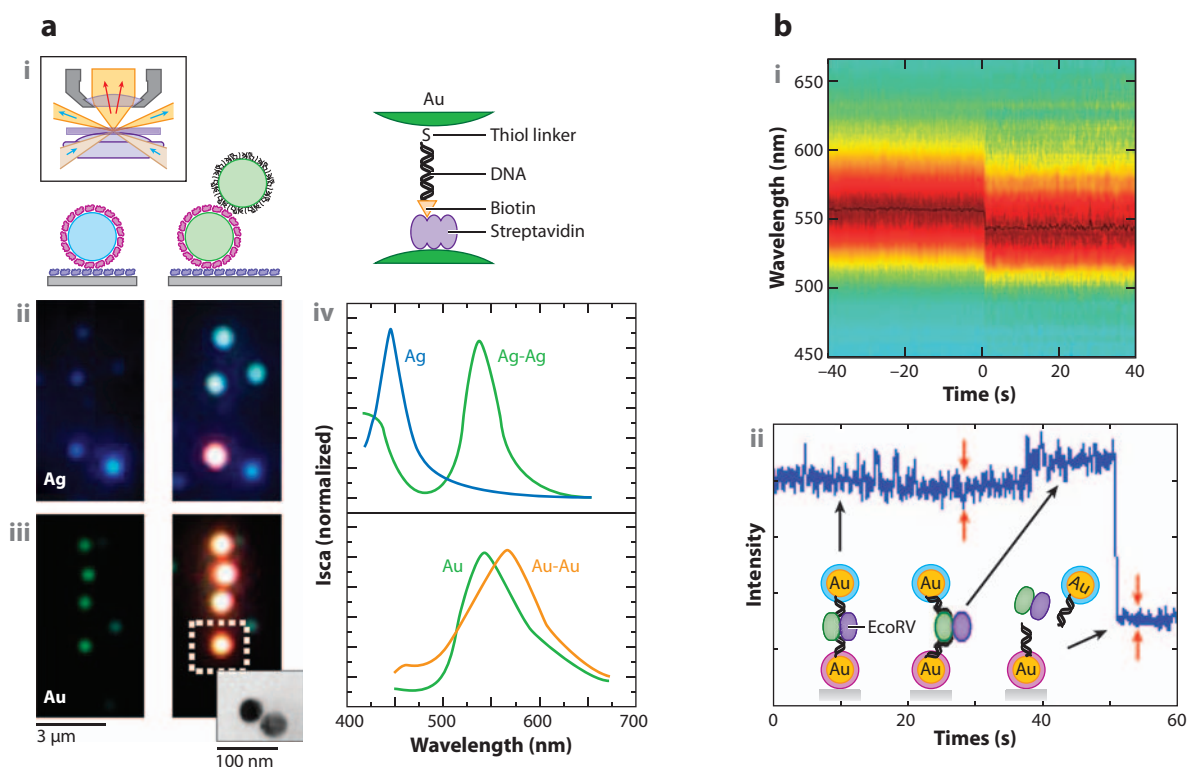


Figure 5

Metal nanocrystal molecules provide useful tools for microscopy and spectroscopy. (a) Dark-field light-scattering spectra from single Au and Ag particles and pairs of particles illustrate plasmon coupling. (i) Schematic of monomer to dimer binding. A streptavidin-functionalized nanoparticle is first immobilized on a substrate, and then a second nanoparticle functionalized with biotinylated DNA is attached. (Inset) Principle of transmission dark-field microscopy. The dark-field scattering images for (ii) Ag and (iii) Au show both a color and intensity change after the second nanoparticle binds to the first. (iv) Measured spectral changes from monomer to dimer for both Ag and Au. Figure adapted from Reference 40. (b) Plasmon ruler study of DNA cleavage by the EcoRV restriction enzyme. Upon cleavage, the light-scattering spectrum and intensity change abruptly. The single-particle trajectories can be used to observe the sequence of events prior to cutting, including bending of the DNA. Figure adapted from Reference 41.

site are deposited on a substrate and imaged in a dark-field microscope. When the enzyme is flowed into the chamber, the plasmon resonance blue-shifts as expected—the second particle flows away as soon as it is cut. The EcoRV enzyme is known to first bend and then cut the DNA, and this can be seen in the light-scattering intensity, which increases slightly and then abruptly decreases as the DNA is cut. The plasmon ruler has been calibrated to correlate the distance between Au nanoparticle pairs with spectral shifts and has proved useful for further biological studies, including the observation of single caspase-3 enzyme cutting events *in vivo* (42).

Fluorescence resonance energy transfer techniques have been extremely useful in studying conformational changes in many systems (43, 44). However, their use is limited by the lifetime and distance dependency ($\sim 1/R^6$) of the dyes: Typically tens of seconds and distances of 1–10 nm are accessible in a given experiment. Because of the invariant plasmon signal over time, and the size-dependent near-exponential distance decay ($e^{-R/D}$, Equation 1), plasmon rulers provide an additional tool capable of studying distances from 1 to 70 nm, for any length of time desired.

The electromagnetic field enhancement at the junction between two or more metal particles has been observed experimentally (45–47). This has proved useful for surface-enhanced Raman spectroscopy studies down to the single-molecule level. Indeed, many unique metal nanostructures have been designed for this purpose (48, 49). As we focus here on plasmon spectral shift effects, we refer the reader to comprehensive reviews on surface-enhanced Raman spectroscopy (50, 51) and note that there have been important applications of metal nanocrystal molecules in this area. The enhanced electromagnetic field effect has also been utilized for electromagnetic energy transport and subwavelength optical waveguiding (52, 53).

3. ELECTRONICALLY COUPLED NANOCRYSTAL MOLECULES

Plasmon coupling occurs through space or a dielectric medium. Another way to produce coupling between two nanocrystals is through tunneling and exchange coupling of electrons. This is precisely analogous to molecular bonding. For this to be a significant effect, two nanocrystals need to be joined by a medium through which the quantum confined electrons can tunnel. The effective masses of the electrons in a semiconductor quantum dot can vary from 1 to 0.01. The length scale over which two dots can then be coupled will depend critically on the potential of the electron in the medium that separates the two dots. Generally, it is necessary to try to lower the potential as much as possible to promote the coupling; for this reason, it is common to attempt to grow dots that are interconnected by an inorganic semiconductor medium, in which the electron potential is higher than that in the dots themselves, but lower than in vacuum or typical dielectric or insulating media. Thus, for such nanocrystal molecules to be studied, it becomes important to be able to grow complex nanocrystals in which regions of differing composition are connected together in well-defined ways. Although there are many versions of electronically coupled nanocrystal molecules, we highlight one case, that of semiconductor tetrapods, because of their beautiful symmetry (they are analogous to a methane molecule) and because the influence of mechanical deformations on their electronic properties can be investigated.

3.1. Synthesis of Nanocrystal Molecules with Inorganic Interconnections

Semiconductor nanocrystals, typically consisting of II–VI or III–V semiconductor materials, have been synthesized via gas-phase, molecular-beam epitaxy, and colloidal methods. We focus here on colloidal synthesis, in which the resulting nanocrystal can be considered a chemical reagent, able to be dissolved in a fluid, spun into a polymer, attached to an electrical circuit, or reacted with other chemicals (2, 54). The colloidal synthesis of semiconductor nanocrystals has developed

Tetrapod: an electronically coupled semiconductor nanocrystal molecule, with four arms branched from a central core at the tetrahedral angle

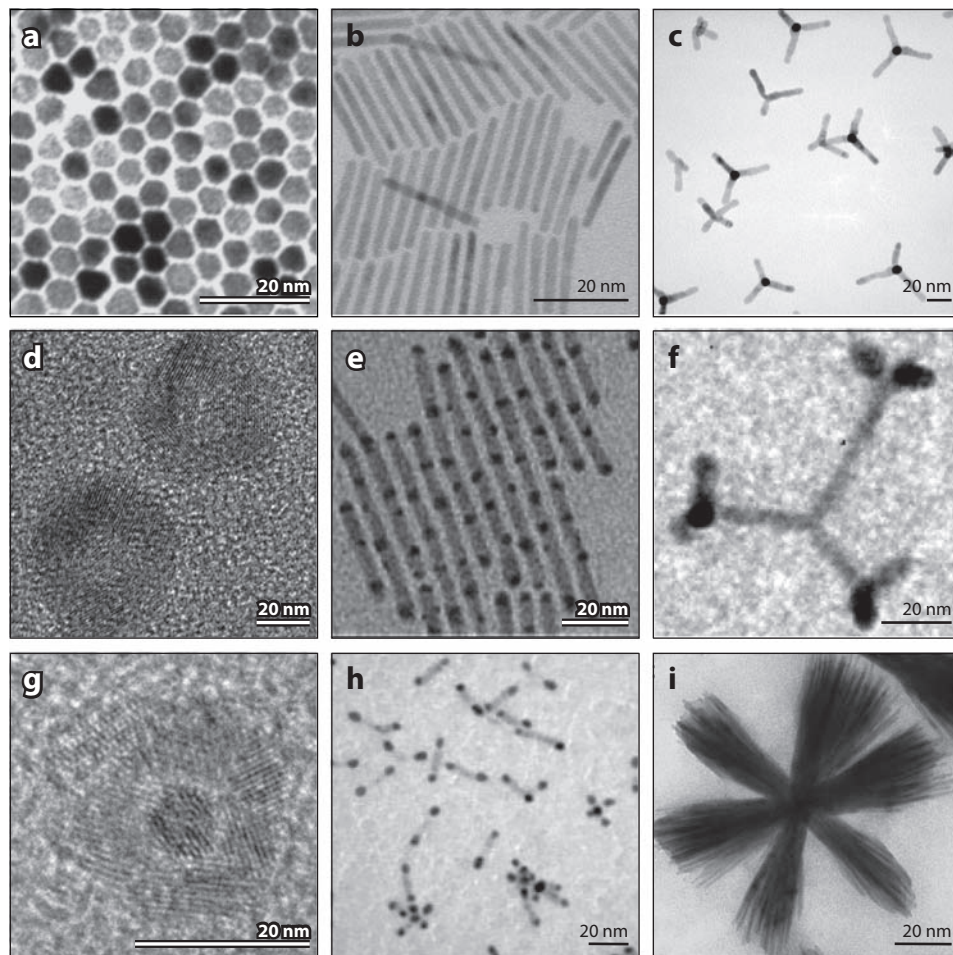


Figure 6

Gallery of colloiddally synthesized semiconductor nanocrystals: transmission electron micrographs of (a) CdSe quantum dots, (b) CdSe nanorods, (c) CdSe tetrapods, (d) hollow Co_8O_9 spheres, (e) Ag_2S -CdS striped binary nanorods, (f) CdSe tetrapods with CdTe dendrimer branches, (g) nested Pt@CoO yolk-shell particles, (h) Au-tipped CdS nanorods, and (i) Bi_2Se_3 nanoflowers.

significantly from quantum dots to nanocrystal molecules of many different shapes, sizes, and chemical compositions (Figure 6) (55–57). Dots (Figure 6a), rods (Figure 6b), discs, and tetrapods (Figure 6c) allow for nanocrystal molecules extended in zero, one, two, and three dimensions, respectively.

Shape control to achieve nanocrystal molecules is largely accomplished by controlling reaction temperature, which favors one crystal structure over another, and the organic surfactant molecules used, which bind to selective crystal faces and promote growth of other less-protected facets. For example, a tetrapod consists of a zinc-blende (cubic) core with four $\{111\}$ facets at the tetrahedral angle, each projecting a wurtzite rod terminated with the (0001) facet (58). The temperature is controlled during the synthesis to favor nanocrystal nucleation in the zinc-blende structure, and subsequent wurtzite growth. Alkylphosphonate ligands such as methyl- and hexyl-phosphonic

acid (59–61) and quaternary ammonium salt compounds (62) preferentially bind to specific crystal facets to facilitate tetrapod synthesis.

Core/shell particles, comprising a shell grown epitaxially on a core particle of different material, add another layer of complexity and control to the nanocrystal molecule family. Typically, the core is synthesized first by traditional colloidal methods, and the shell is grown either by successive monolayer adsorption or quick nucleation followed by growth on the nanocrystal core. Type I band alignment core/shell heterostructures with the larger band-gap material as the shell result in extremely bright nanocrystals, as both electron and hole carriers are confined to the core. These nanoheterostructures were first demonstrated by Bawendi and coworkers (63), who synthesized CdSe/ZnS quantum dots with 30%–50% quantum yields at room temperature. Such structures are now routinely used, particularly in biological fluorescence-tagging experiments. Type II core/shell heterostructures allow the electron and hole to be segregated to different materials, which is ideal for many photovoltaic and catalytic designs. Other arrangements of wave-function confinement and delocalization are possible via the careful choice of core and shell material.

Hollow particles and nested or yolk-shell nanocrystal molecules are synthesized by leveraging the Kirkendall effect: that ions diffuse through interfaces at different rates (64). The deliberate selection of core and shell materials followed by successive oxidation or sulfidization results in partially hollow, nested particles, leading to fully hollow spheres upon longer reaction times. These nanocrystals are used in surface and catalysis studies (65).

Of particular note are the Au-tipped semiconductor nanocrystal molecules demonstrated by Banin and coworkers (66, 67), the first observed metal-semiconductor contact within a colloidal nanocrystal. Metal regions on semiconductor nanocrystals are an interesting class of more complex nanocrystal molecules that provide additional handles for electrical contacts (68) or biological functionalization via thiol or amine linkers. Current methods provide nonepitaxial Au deposition on semiconductor structures; the Au tip size may be increased by further reduction of the metal in solution. Au-tipped tetrapods bear a striking resemblance to the Au-tipped pyramids constructed by DNA assembly mentioned in Section 2.1 (18). These two structures provide a direct comparison between plasmonic through-space and electronic coupling of four tetrahedrally related Au particles.

3.2. Theoretical Considerations of Electronically Coupled Nanocrystal Molecules

Wang and coworkers have developed the semiempirical pseudopotential and *ab initio* methods to model electron and hole wave functions in semiconductor nanocrystals. We direct the reader to other literature on this subject (69–71), noting that the optical and electronic properties of a nanocrystal are clearly dependent on nanocrystal shape from these calculations (**Figure 7a–c**) (72). These theoretical studies are consistent with the concept of rods and tetrapods (and other shapes) as nanocrystal molecules with properties distinct from a series of uncoupled dots in the same geometric arrangement. Furthermore, the mechanical properties of a nanocrystal, related to its shape, are inherently linked to its optoelectronic properties. Schrier et al. (73) predicted that when the top arm of a tetrapod is mechanically compressed while its three supporting arms are flattened along the substrate, inducing arm bending, the electron and hole wave functions of a tetrapod are spatially altered; strain in the top arm reduces the probability of electron or hole localization in that arm (**Figure 7c,d**). The resulting wave-function overlap results in a red shift of the energy gap. This calculation was recently experimentally confirmed (74) and is discussed further in Section 3.3.

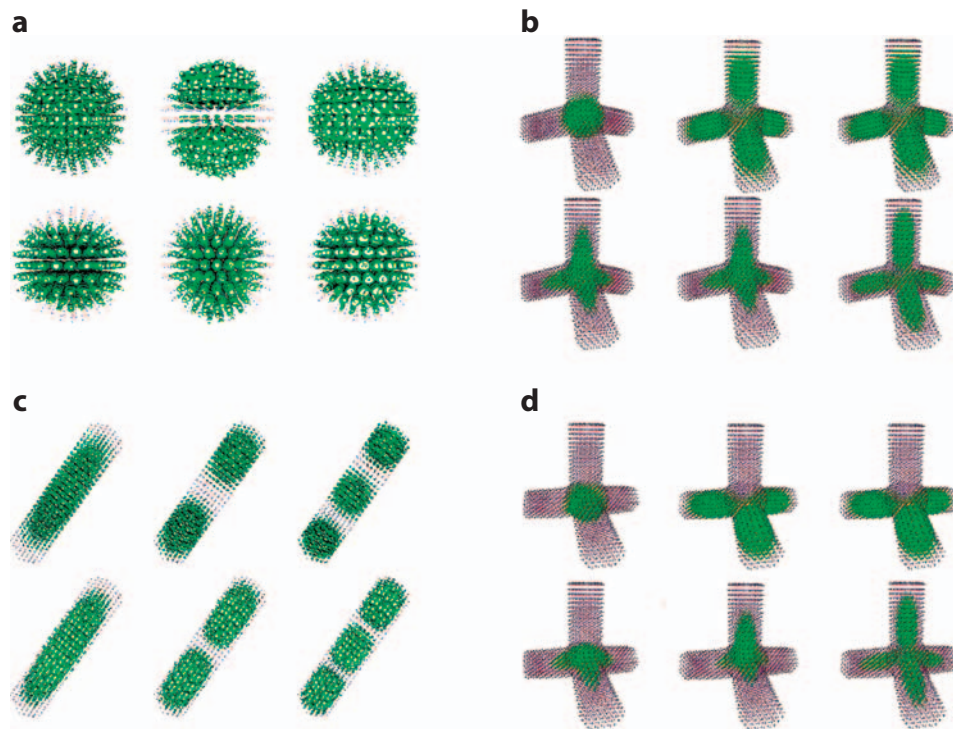


Figure 7

Nanocrystals are predicted to have shape-dependent optical, electronic, and mechanical properties. Calculated wave-function charge densities for the lowest energy conduction-band states (*top row*, increasing energy from left to right) and highest energy valence-band states (*bottom row*, decreasing energy from left to right) are shown for (a) quantum dots, (b) nanorods, (c) tetrapods, and (d) tetrapods compressed uniaxially on the top arm. Panels *a* and *b* adapted with permission from Reference 72. Copyright 2003 American Chemical Society. Panels *c* and *d* adapted with permission from Reference 73. Copyright 2008 American Scientific Publishers.

3.3. Observed Properties of Semiconductor Nanocrystal Molecules

Semiconductor nanocrystal molecules exhibit altered optical, electronic, and mechanical properties from their quantum-dot counterparts (**Figure 8**). As the aspect ratio of a wurtzite dot is increased along the *c* axis to form a rod, the optoelectronic structure changes because of the inherent dipole in the rod structure (the two faces perpendicular to the *c* axis are not equivalent). The particle begins to emit polarized light (70, 75) as well as exhibit electric-field-dependent photoluminescence (76). In the case of CdSe/CdS core/shell rods (61, 77, 78), in which the hole is localized in the CdSe core while the electron is more delocalized over the core and the CdS shell (79–81), the changes in optoelectronic properties are more pronounced (**Figure 8a**). As the length of the CdS rod shell is increased, the electron and hole wave-function overlap is decreased, leading to a decrease in the radiative decay rate. An external electric field applied to the CdSe/CdS rod additionally affects carrier wave-function localization and thus optoelectronic properties (82).

Even more so than rods, coupled behavior is observed in the optoelectronic properties of tetrapods. In a single-electron transistor experiment, rod and tetrapod particles are immobilized on a 10-nm Si_3N_4 dielectric substrate with a metal back gate, and are contacted by electron beam lithography with Pd electrodes at both ends of the rod, or three arms of a tetrapod (with the fourth arm protruding upward). The electrical current as a function of voltage is measured

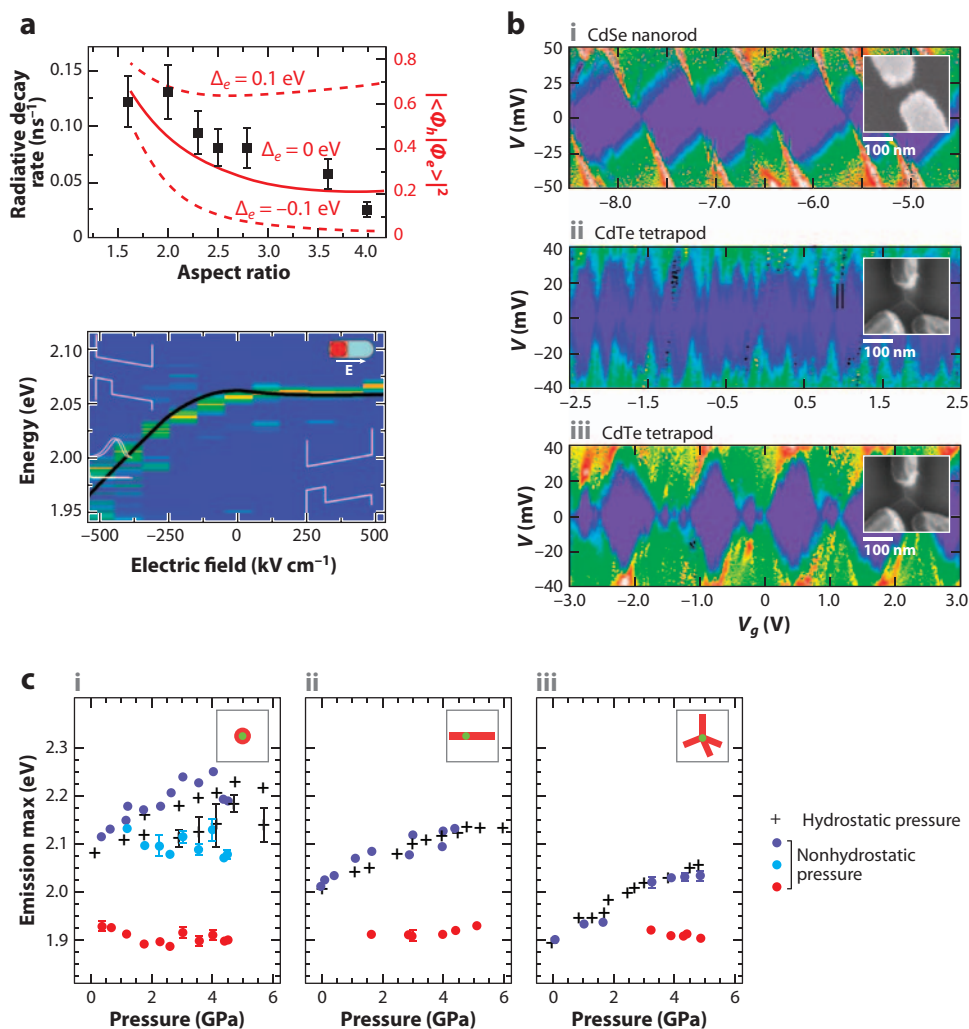


Figure 8

Semiconductor nanocrystal molecules exhibit altered optical, electronic, and mechanical properties. (a) The electron and hole wave-function overlap can be engineered in CdSe/CdS core/shell nanorods by tuning the CdS rod length (*top*) and the electric field (*bottom*). Figure adapted with permission from Reference 82. Copyright 2005 American Chemical Society. (b) Plots of the differential conductance as a function of V and V_g at $T = 5 \text{ K}$ of single nanocrystals. (i) A CdSe nanorod, (ii) a CdTe tetrapod showing coupled hopping behavior, and (iii) a CdTe tetrapod showing delocalized behavior. Insets show scanning electron micrographs of single nanocrystals contacted with electrodes. Figure adapted with permission from Reference 84. Copyright 2005 American Chemical Society. (c) Deviatoric stresses induce new radiative transitions in CdSe/CdS core/shell (i) dots, (ii) rods, and (iii) tetrapods. Schematics of core/shell nanocrystals are shown in insets. Figure adapted with permission from Reference 74. Copyright 2009 American Chemical Society.

DAC: diamond anvil cell

for many gate voltages, and the differential conductance ($\partial I/\partial V$) is plotted as a function of V and V_g (**Figure 8b**). In the case of a single rod transistor, we observe a smooth set of Coulomb diamonds, indicating a single Coulomb-charging energy ladder (**Figure 8b**, panel i). This is similar to that observed for a single dot. In the case of a single tetrapod transistor, two types of behavior are observed. The first is a sawtooth-like Coulomb diamond structure (**Figure 8b**, panel ii), a signature akin to single-electron hopping in a system of weakly coupled quantum dots (83). This behavior results from the electron being transported through the arm-branch point arm in series: modeled, to the simplest approximation, as three Coulomb-charging energy ladders. The second tetrapod transistor behavior exhibits a large Coulomb diamond with two or three smaller diamonds alternating along the V_g axis (**Figure 8b**, panel iii). This behavior is expected for a strongly coupled arm-branch point-arm system, with the charge carrier delocalized throughout the tetrapod, similar to lithographically patterned dots on a two-dimensional electron gas. Thus we see that a single tetrapod nanostructure behaves electronically as a collection of coupled quantum dots (84).

The optoelectronic coupling of tetrapods is sensitive to mechanical deformation (**Figure 8c**). That unique mechanical properties are observed for tetrapods as compared with dots and rods seems intuitive, as particle shape is clearly tied to its response to stress and strain. Nie and colleagues (85) have observed strain-dependent optical properties of core/shell quantum dots with synthetically inherent internal stress. In these materials, a shell is epitaxially grown onto a core of softer material. As the shell thickness is increased, the softer core experiences increased strain, leading to an optical band-gap red shift. Ensemble measurements of CdSe/CdS core/shell dots, rods, and tetrapods all demonstrate a photoluminescence peak blue-shift with increasing pressure under hydrostatic pressure conditions (near-isotropic compression) in a diamond anvil cell (DAC) (74). This results from increased atomic orbital overlap within the crystal, and is consistent with previous measurements on bulk and nanocrystal CdSe (4). However, upon application of pressure when solubilized in a nonhydrostatic medium (anisotropic compression), an additional photoluminescence peak at lower energy is observed for all three particle morphologies. This peak is attributed to a fraction of the particles within the DAC experiencing nonhydrostatic conditions. The difference between the two peaks reveals the net effect of deviatoric stress on the particles. Tetrapod nanocrystals are most greatly affected by net deviatoric stresses, with greater than 95% of the population affected by nonhydrostaticity; these particles exhibit a photoluminescence red shift with increasing anisotropic deformation. Because the strain state inside a DAC cannot be determined, future experiments are required to calibrate the fluorescence shift with force. With further development, these tetrapods may be effective as optical sensors of externally applied stress (74).

3.4. Chemical Transformations in Semiconductor Nanocrystals

Just as organic molecules may undergo chemical reactions, inorganic nanocrystal molecules may also undergo chemical transformations. Branching of nanocrystals, analogous to organic dendrimer formation, leads to tetrapod and multipod particles. Additional reactions in nanocrystals result in core/shell, nested, or hollow structures. Simple substitution reactions in particular highlight the ability of nanocrystals to transform as molecular entities. These reactions are demonstrated via a cation exchange mechanism. For example, CdSe quantum dots will quickly transform to Ag₂Se dots upon addition of excess Ag⁺ in solution (86). The reaction is fully reversible, and CdSe dots are recovered upon addition of excess Cd²⁺ (**Figure 9a**). Partial cation exchange has also been demonstrated, with the resultant nanocrystal morphology highly dependent on choice and concentration of cation (87, 88). For example, when CdS nanorods are partially exchanged with Cu²⁺, a binary nanorod structure forms with Cu₂S at one or both ends of the nanorod (**Figure 9b**). When CdS nanorods are partially exchanged with Ag⁺, however, the CdS rod becomes partially striped

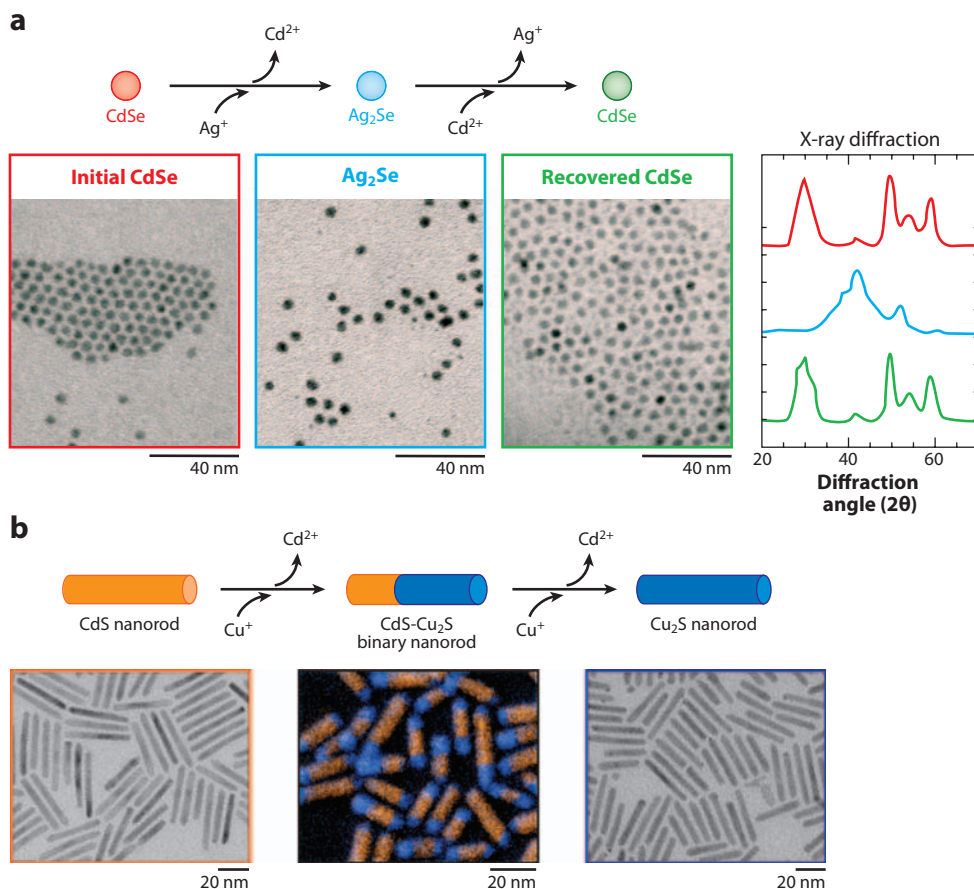


Figure 9

Chemical transformations of nanocrystal molecules. (a) Cation exchange reactions enable the reversible transformation of CdSe quantum dots to Ag₂Se quantum dots. Figure taken from Reference 86. Reprinted with permission from AAAS. (b) Partial cation exchange enables the formation of CdS-Cu₂S binary nanorod structures; the further addition of copper ions results in full cation exchange of the nanorod. Figure adapted with permission from Reference 88. Copyright 2009 American Chemical Society.

with Ag₂S at regularly spaced intervals along the rod (**Figure 6e**). The interfacial strain between the two lattice structures and the chemical interaction dictates the resultant partially exchanged morphology. Full cation exchange of the CdS nanorod results in fully Cu₂S or Ag₂S nanorods.

That shape is highly preserved while the nanocrystal composition is thoroughly transformed into a different semiconductor material is remarkable. This observation suggests that cation exchange may be a new route toward the synthesis of nanocrystal molecules with complex compositions. Indeed, recent work has shown that semiconductor nanocrystals difficult to synthesize by established colloidal methods, e.g., PbS nanocrystals, can be achieved through a cation exchange mechanism (89).

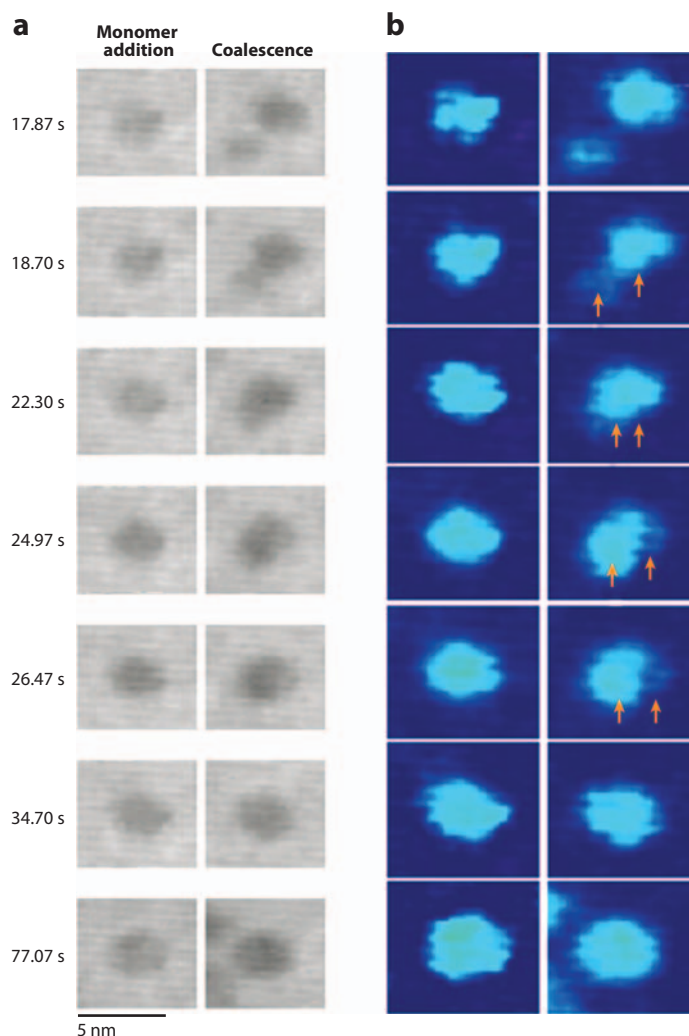
Exchange, addition, and branching reactions result in a core set of transformation operations that can be performed sequentially in any order. The discovery of additional transformational tools and further development of multistep nanocrystal syntheses will result in a wide library of inorganic nanocrystals with a variety of properties.

4. IN SITU ELECTRON MICROSCOPY FOR OBSERVATION OF NANOCRYSTAL MOLECULE FORMATION AND DYNAMICS

As discussed above, many types of nanocrystal molecules can be synthesized, and unique properties distinct from those of the individual nanocrystal building blocks are obtained. The size, shape, structure, and composition of these particles can be characterized using transmission electron microscopy. Although these structures may be monitored roughly during formation by characterization of aliquots from synthesis, an ideal tool would enable visualization and characterization of nanocrystal molecule formation in situ. One such technique has recently been developed and used to observe the formation of Pt nanocrystals in real time (90). In this study, Pt nanoparticle precursors are injected into a liquid cell holder and placed into the transmission electron microscope, with growth of Pt nanocrystals catalyzed by the electron beam. Nanocrystals are observed to form both via monomer addition and particle coalescence (**Figure 10**). This observation is a first step toward understanding simple nanocrystal formation mechanisms and kinetics. Future work

Figure 10

Single colloidal Pt nanocrystal growth trajectories observed via transmission electron microscopy in situ. (a) Video images showing simple growth by means of monomer addition (*left column*) or growth by means of coalescence (*right column*). (b) Enlarged (1.5 times) color images of panel a. Arrows highlight distinct contrast changes indicating recrystallization from two separate particles in the case of coalescence. Figure taken from Reference 90. Reprinted with permission from AAAS.



will investigate the formation of more complex nanocrystals via reactions such as branching or cation exchange, as well as nanocrystal transformations during catalysis. These experiments are underway.

5. FUTURE OUTLOOK

Nanoscience has opened up the ability to create whole new classes of materials with designed properties. Early work in size control and characterization established that the artificial atom concept could be successfully applied to colloidal nanoparticles of inorganic solids, as if the periodic table itself acquired a third dimension corresponding to size. In the past decade, this analogy has developed further, with clear demonstrations that artificial atoms can be coupled together to create artificial nanocrystal molecules. Many of these effects have only been demonstrated at a proof-of-principle level, with limited numbers and types of nanoparticles connected to each other. As we learn to control the spatial arrangements of nanoparticles in new and more advanced ways, it should be possible to create ever more intricate and controlled nanocrystal molecules.

SUMMARY POINTS

1. Well-defined groupings of single nanocrystals may couple to form a nanocrystal molecule. The physical properties of a nanocrystal molecule, including its optical, electronic, and mechanical properties, are significantly altered from those of the component nanoparticles due to the interparticle coupling.
2. Plasmonically coupled nanocrystal molecules are distinct groupings of coupled metal nanocrystals that may be assembled together via biological macromolecules, organic linkers, or synthesis-mediated shape control.
3. Electronically coupled nanocrystal molecules are distinct groupings of nanocrystals coupled through tunneling and exchange coupling of electrons, typically through an inorganic semiconductor medium.
4. Similar to organic molecules, inorganic nanocrystals may undergo chemical transformations, including exchange, addition, and branching reactions.
5. In situ transmission electron microscopy has been recently developed to observe Pt nanocrystal formation in real time. Further advancement of the technique may provide the capability to visualize the formation of more complex nanocrystal molecules.
6. Nanoscience has opened up the ability to create new classes of materials with designed properties. Future progress should allow the creation of ever more intricate and controlled nanocrystal molecules.

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Errata

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